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Proposal for a

COUNCIL REGULATION

**on fixing for the 2012 fishing year the guide prices and Union producer prices for
certain fishery products pursuant to Regulation (EC) No 104/2000**

EXPLANATORY MEMORANDUM

CONTEXT OF THE PROPOSAL

Grounds for and objectives of the proposal

The immediate objective of this proposal is to enable the Council to discharge its regulatory obligation to determine the guide prices and the Union producer price for the fishing year 2012. The wider objective of this proposal is thus to ensure the functioning of the price support and intervention mechanisms laid down in Council Regulation (EC) No 104/2000 during the fishing year in question.

General context

Council Regulation (EC) No 104/2000 establishes a Common Organisation of the Markets in Fishery and Aquaculture Products, which pertains to the market- and trade-related aspects of the Common Fisheries Policy and thus also serves to implement the objectives enshrined in Article 39 of the Treaty on the Functioning of the European Union. Specific price support and intervention mechanisms are one important component of the Common Market Organisation. In this context, Article 43(3) of the Treaty obliges the Council to adopt, upon a proposal from the Commission, measures on the fixing of prices. This concerns the guide prices for a specified number of fishery products of EU importance as well as the Union producer price for specified tuna products. The guide prices form the reference for the subsequent fixing, by way of Commission regulations, of the different technical parameters needed for the operation of the intervention mechanisms. The Union producer price is of relevance for the compensatory allowance for tuna delivered to the processing industry, which might be triggered when prices on the world markets fall below a specified trigger level.

Pursuant to Council Regulation (EC) No 104/2000, the relevant prices shall follow both the development of market prices during the three preceding fishing years as well as trends in production and demand. In line with that, the proposal is for increases between +1% and +3% for most whitefish species; for increases between +1.5% and +3% for pelagic species such as herring, mackerel, Spanish mackerel and whole albacore, as well as reductions between -0.5% and -2% for sardines, anchovies and gutted albacore; and for increases between +1% and +3% for most crustaceans. In the field of frozen species, the proposal reflects increases between +1% and +3% for a majority of products. Finally, the proposal is for increasing the Union producer price for tuna products by +2%.

Existing provisions in the area of the proposal

Council Regulation (EC) No 1258/2010 fixing for the 2011 fishing year the guide prices and Union producer prices for certain fishery products pursuant to Regulation (EC) No 104/2000.

Consistency with the other policies and objectives of the Union

The functioning of the Common Market Organisation set up by Council Regulation (EC) No 104/2000 and the discharge of the regulatory obligations pertaining thereto also serve to implement the objectives enshrined in Article 39 of the Treaty on the

Functioning of the European Union.

CONSULTATION OF INTERESTED PARTIES AND IMPACT ASSESSMENT

Consultation of interested parties

Consultation methods, main sectors targeted and general profile of respondents

The Management Committee for Fishery Products and the Advisory Committee on Fisheries and Aquaculture.

Summary of responses and how they have been taken into account

The views of both Committees have provided valuable input to the Commission's market analysis.

Collection and use of expertise

Scientific/expertise domains concerned

Markets in fishery products.

Methodology used

Open consultation.

Main organisations/experts consulted

Experts representing the Member States at the Management Committee for Fishery Products. Experts representing all major groups of EU stakeholders at the Advisory Committee for Fisheries and Aquaculture.

Summary of advice received and used

The existence of potentially serious risks with irreversible consequences has not been mentioned.

Advices are usually reflected in the proposal fixing the guide prices and Union producer prices.

Means used to make the expert advice publicly available

Minutes of the meetings of the Management Committee for Fishery Products and the Advisory Committee for Fisheries and Aquaculture.

Impact assessment

Considering the specific nature of the proposal, namely the annual determination of relevant prices pursuant to the provisos of the Common Market Organisation in its present version, an impact assessment is not required.

The proposal is nevertheless based on a regular monitoring on interventions in the

preceding fishing years and a thorough analysis of the market situation for each one of the relevant fishery products.

LEGAL ELEMENTS OF THE PROPOSAL

Summary of the proposed action

Proposal for a Council Regulation fixing for the 2012 fishing year the guide prices and Union producer prices for certain fishery products.

Legal basis

Article 43(3) of the Treaty on the Functioning of the European Union.

Subsidiarity principle

The proposal falls under the exclusive competence of the Community. The subsidiarity principle therefore does not apply.

Proportionality principle

The proposal complies with the proportionality principle for the following reason(s).

The attainment of the Single Market in the field of fisheries products requires the fixation of guide prices. The Common Market Organisation provides for specific intervention mechanisms but forces none of the relevant actors (producer organisations) to have recourse to intervention.

The Common Market Organisation has considerably decreased both the attractiveness of intervention in the form of wasteful withdrawals as well as the corresponding financial means.

Choice of instruments

Proposed instruments: regulation.

Other means would not be adequate for the following reason(s).

The guide prices and Union producer prices shall be fixed by the Council acting on a qualified majority on a proposal from the Commission. A regulation is required to ensure direct applicability and uniformity throughout the EU.

BUDGETARY IMPLICATION

Since this is a price-fixing exercise, the impact on expenditure is indirect and will largely depend on developments in the market situation and on quantities of fishery products landed.

Proposal for a

COUNCIL REGULATION

on fixing for the 2012 fishing year the guide prices and Union producer prices for certain fishery products pursuant to Regulation (EC) No 104/2000

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(3) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) According to Article 43(3) of the Treaty, the Council, on a proposal from the Commission, is to adopt measures on the fixing of prices.
- (2) Regulation (EC) No 104/2000 of 17 December 1999 on the common organisation of the markets in fishery and aquaculture products¹ requires that guide prices and Union producer prices for each fishing year be fixed in order to determine price levels for intervention on the market for certain fisheries products.
- (3) It is incumbent upon the Council to fix the guide prices for each of the products and groups of products listed in Annexes I and II to Regulation (EC) No 104/2000, and the Union producer prices for the products listed in Annex III to that Regulation.
- (4) On the basis of the data currently available on the prices for the products concerned and the criteria referred to in Article 18(2) of Regulation (EC) No 104/2000, the guide prices should be increased, maintained or reduced for the 2012 fishing year depending on the species.
- (5) It is appropriate to establish the Union producer price for one of the products listed in Annex III to Regulation (EC) No 104/2000 and calculate the Union producer prices for the others by means of the conversion factors established by Commission Regulation (EC) No 802/2006 of 30 May 2006 fixing the conversion factors applicable to fish of the genera *Thunnus* and *Euthynnus*².
- (6) On the basis of the criteria laid down in the first and second indent of Article 18(2) and in Article 26(1) of Regulation (EC) No 104/2000, the Union producer price should be adjusted for the 2012 fishing year,

¹ OJ L 17, 21.1.2000, p. 22.

² OJ L 144, 31.5.2006, p. 15.

HAS ADOPTED THIS REGULATION:

Article 1

For the fishing year from 1 January to 31 December 2012, the guide prices as provided for in Article 18(1) of Regulation (EC) No 104/2000 shall be as set out in Annex I to this Regulation.

Article 2

For the fishing year from 1 January to 31 December 2012, the Union producer prices as provided for in Article 26(1) of Regulation (EC) No 104/2000 shall be as set out in Annex II to this Regulation.

Article 3

This Regulation shall enter into force on 1 January 2012.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the Council
The President

ANNEX I

Annexes	Species Products listed in Annexes I and II to Regulation (EC) No 104/2000	Commercial presentation	Guide price (EUR/tonne)
I	1. Herring of the species <i>Clupea harengus</i>	Whole fish	282
	2. Sardines of the species <i>Sardina pilchardus</i>	Whole fish	568
	3. Dogfish (<i>Squalus acanthias</i>)	Whole fish or Gutted fish with head	1123
	4. Spotted dogfish (<i>Scyliorhinus spp.</i>)	Whole fish or Gutted fish with head	711
	5. Redfish (<i>Sebastes spp.</i>)	Whole fish	1230
	6. Cod of the species <i>Gadus morhua</i>	Whole fish or gutted fish with head	1613
	7. Saithe (<i>Pollachius virens</i>)	Whole fish or gutted fish with head	823
	8. Haddock (<i>Melanogrammus aeglefinus</i>)	Whole fish or gutted fish with head	975
	9. Whiting (<i>Merlangius merlangus</i>)	Whole fish or gutted fish with head	902
	10. Ling (<i>Molva spp.</i>)	Whole fish or gutted fish with head	1176
	11. Mackerel of the species <i>Scomber scombrus</i>	Whole fish	328
	12. Mackerel of the species <i>Scomber japonicus</i>	Whole fish	294
	13. Anchovy (<i>Engraulis spp.</i>)	Whole fish	1268
	14. Plaice (<i>Pleuronectes platessa</i>)	Whole fish or gutted fish with head from 1.1.2011 to 30.4.2011	1005
		Whole fish or gutted fish with head from 1.5.2011 to 31.12.2011	1389
	15. Hake of the species <i>Merluccius merluccius</i>	Whole fish or gutted fish with head	3235
	16. Megrim (<i>Lepidorhombus spp.</i>)	Whole fish or gutted fish with head	2365
	17. Dab (<i>Limanda limanda</i>)	Whole fish or gutted fish with head	791
	18. Common flounder (<i>Platichthys flesus</i>)	Whole fish or gutted fish with head	493
	19. Albacore or longfinned tunas (<i>Thunnus alalunga</i>)	Whole fish	2343
		Gutted fish with head	2388
	20. Cuttlefish (<i>Sepia officinalis and Rossia macrosoma</i>)	Whole	1817
	21. Monkfish (<i>Lophius spp.</i>)	Whole fish or gutted fish with head	2879
		Without head	5955
	22. Shrimp of the species <i>Crangon crangon</i>	Simply boiled in water	2350
	23. Northern prawn (<i>Pandalus borealis</i>)	Simply boiled in water	6868
		Fresh or chilled	1638
	24. Edible crab (<i>Cancer pagurus</i>)	Whole	1693
	25. Norway lobster (<i>Nephrops norvegicus</i>)	Whole	5196
		Tails	4039
	26. Sole (<i>Solea spp.</i>)	Whole fish or gutted fish with head	6911
II	1. Greenland halibut (<i>Reinhardtius hippoglossoides</i>)	Frozen, in original packages containing the same products	1954
	2. Hake of the genus <i>Merluccius spp.</i>	Frozen, whole, in original packages containing the same products	1257
		Frozen, filleted, in original packages containing the same products	1528
	3. Sea bream (<i>Dentex dentex and Pagellus spp.</i>)	Frozen, in lots or in original packages containing the same products	1461
	4. Swordfish (<i>Xiphias gladius</i>)	Frozen, whole, in original packages containing the same products	4139
	5. Cuttlefish (<i>Sepia officinalis</i>) (<i>Rossia macrosoma</i>) (<i>Sepiolo rondeletti</i>)	Frozen, in original packages containing the same products	1963
	6. Octopus (<i>Octopus spp.</i>)	Frozen, in original packages containing the same products	2226
	7. Squid (<i>Loligo spp.</i>)	Frozen, in original packages containing the same products	1191
	8. Squid (<i>Ommastrephes sagittatus</i>)	Frozen, in original packages containing the same products	961
	9. <i>Illex argentinus</i>	Frozen, in original packages containing the same products	899
	10. Prawn of the family <i>Penaeidae</i> - Prawn of the species <i>Parapenaeus longirostris</i> - Other species of the family <i>Penaeidae</i>	Frozen, in original packages containing the same products	4153
		Frozen, in original packages containing the same products	7813

ANNEX II

Species Products listed in Annex III to Regulation (EC) No 104/2000	Weight	Commercial specifications	Community producer price (EUR/tonne)
Yellowfin tuna (<i>Thunnus albacares</i>)	weighing more than 10 kg each	Whole	1224
		Gilled and gutted	
		Other	
	weighing not more than 10 kg each	Whole	
		Gilled and gutted	
		Other	
Albacore (<i>Thunnus alalunga</i>)	weighing more than 10 kg each	Whole	
		Gilled and gutted	
		Other	
	weighing not more than 10 kg each	Whole	
		Gilled and gutted	
		Other	
Skipjack (<i>Katsuwonus pelamis</i>)		Whole	
		Gilled and gutted	
		Other	
Bluefin tuna (<i>Thunnus thynnus</i>)		Whole	
		Gilled and gutted	
		Other	
Other species of the genera <i>Thunnus</i> and <i>Euthynnus</i>		Whole	
		Gilled and gutted	
		Other	

LEGISLATIVE FINANCIAL STATEMENT FOR PROPOSALS

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
- 1.4. Objective(s)
- 1.5. Grounds for the proposal/initiative
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LEGISLATIVE FINANCIAL STATEMENT FOR PROPOSALS

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

Proposal for a Council Regulation fixing for the 2012 fishing year the guide prices and Union producer prices for certain fishery products pursuant to Regulation (EC) No 104/2000

1.2. Policy area(s) concerned in the ABM/ABB structure³

Policy Area 11: Fisheries and Maritime Affairs

1.3. Nature of the proposal/initiative

- ☐ The proposal/initiative relates to **a new action**
- ☐ The proposal/initiative relates to **a new action following a pilot project/preparatory action**⁴
- ☒ The proposal/initiative relates to **the extension of an existing action**
- ☐ The proposal/initiative relates to **an action redirected towards a new action**

1.4. Objectives

1.4.1. *The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

Resource efficient Europe

1.4.2. *Specific objective(s) and ABM/ABB activity(ies) concerned*

Specific objective No 1

To contribute to the objectives laid down by the Treaty (Article 39) by increasing the sustainability of fishing activities, ensuring minimum incomes for the producers, stabilisation of the market, availability of supply and reasonable prices for the consumers, and compensating the additional costs incurred in the marketing of fishery products from the outermost regions.

ABM/ABB activity(ies) concerned

Activity ABB 11 02: Fisheries markets

³ ABM: Activity-Based Management – ABB: Activity-Based Budgeting.

⁴ As referred to in Article 49(6)(a) or (b) of the Financial Regulation.

1.4.3. *Expected result(s) and impact*

Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.

Prevalence of carry-over operations over withdrawals

Guide price fluctuations remain limited (between +3% and -3%).

1.4.4. *Indicators of results and impact*

Specify the indicators for monitoring implementation of the proposal/initiative.

Level of carry-over operations and withdrawals of fishery products

Evolution of guide prices of fishery products

1.5. Grounds for the proposal/initiative

1.5.1. *Requirement(s) to be met in the short or long term*

The interventions concerning fishery products are carried out under the Common Fisheries Policy (CFP) in accordance with Article 40 of the TFEU. They aim at providing stability to the EU markets by preventing possible risks of crisis. In this context, appropriate price and supply policies are of utmost importance. The interest of the production and marketing chain and the need to strengthen the competitiveness of the sector in a context of globalisation must be taken into account. The objective of market stability together with the support to producers' income can essentially be achieved through the different mechanisms of intervention on the markets, e.g. withdrawals, carry-over, compensatory allowance. The interest of the consumers must also be considered

1.5.2. *Added value of EU involvement*

The question of subsidiarity is not relevant to this proposal since intervention falls within the exclusive competence of the EU. The added value of EU intervention is directly related to the underlying arguments of the existence of a Common Organisation of the Markets in fishery and aquaculture products

1.5.3. *Lessons learned from similar experiences in the past*

The yearly fixation of the guide prices and the Union producer price contribute to the attainment of the Single Market in the field of fisheries products

The Common Market Organisation has considerably decreased both the attractiveness of intervention in the form of wasteful withdrawals as well as the corresponding financial means

1.5.4. Coherence and possible synergy with other relevant instruments

Price support and intervention mechanisms are one important component of the Common Market Organisation. The guide prices provide the basis for the subsequent fixing of the different parameters needed for the operation of the intervention mechanisms for the fishing year concerned. Similarly, the Union producer price forms the basis for the granting of the compensatory allowance for tuna which might be triggered depending on the development of prices on the world markets

1.6. Duration and financial impact

☒ Proposal/initiative of **limited duration**

- ☒ Proposal/initiative in effect from 01/01/2012 to 31/12/2012
- ☐ Financial impact from YYYY to YYYY

☐ Proposal/initiative of **unlimited duration**

- Implementation with a start-up period from YYYY to YYYY,
- followed by full-scale operation.

1.7. Management mode(s) envisaged⁵

☒ **Centralised direct management** by the Commission

☐ **Centralised indirect management** with the delegation of implementation tasks to:

- ☐ executive agencies
- ☐ bodies set up by the Communities⁶
- ☐ national public-sector bodies/bodies with public-service mission
- ☐ persons entrusted with the implementation of specific actions pursuant to Title V of the Treaty on European Union and identified in the relevant basic act within the meaning of Article 49 of the Financial Regulation

☐ **Shared management** with the Member States

☐ **Decentralised management** with third countries

☐ **Joint management** with international organisations (*to be specified*)

If more than one management mode is indicated, please provide details in the "Comments" section.

⁵ Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html

⁶ As referred to in Article 185 of the Financial Regulation.

Comments

As of 16 October 2006, the measures financed under the budget heading 11.02.01 are implemented in a centralised manner pursuant to Council Regulation (EC) No 1290/2005 on the financing of the common agricultural policy. Furthermore, the management of data notified by the Member States in accordance with Commission Regulation (EC) No 248/2009 is the sole responsibility of the Commission

2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

Specify frequency and conditions.

The monitoring of the envisaged measures is ensured through the collection and the analysis of data notified by the Member States by way of the FIDES system in accordance with Commission Regulation (EC) No 248/2009

2.2. Management and control system

2.2.1. Risk(s) identified

2.2.2. Control method(s) envisaged

The proposal is based on regular monitoring of interventions in previous fishing years and thorough analysis of the market situation for the relevant products

2.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures.

The anti-fraud measures are in conformity with the provisions laid down in Regulation (CE) No 104/2000 and, in particular, with the provisions laid down in Regulation (EEC) No 595/91 concerning irregularities and the recovery of sums wrongly paid

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing expenditure budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Description.....]	DA/NDA ⁽⁷⁾	from EFTA ⁸ countries	from candidate countries ⁹	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
2	11 02 01 01 Interventions in fishery products	DA/DN A	YES/N O	YES/N O	YES/N O	YES/NO

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Heading.....]	Diff./non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
	[XX.YY.YY.YY]		YES/N O	YES/N O	YES/N O	YES/NO

⁷ DA= Differentiated appropriations / DNA= Non-Differentiated Appropriations

⁸ EFTA: European Free Trade Association.

⁹ Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

3.2. Estimated impact on expenditure

3.2.1. Summary of estimated impact on expenditure

EUR million (to 3 decimal places)

Heading of multiannual financial framework:	Number	2
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DG: MARE			Year 2012 ¹⁰	Year N+1	Year N+2	Year N+3	... enter as many years as necessary to show the duration of the impact (see point 1.6)			TOTAL
• Operational appropriations										
Number of budget line 11 02 01 01	Commitments	(1)	13.650							13.650
	Payments	(2)	9.650	4.000						13.650
Number of budget line	Commitments	(1a)								
	Payments	(2a)								
Appropriations of an administrative nature financed from the envelop of specific programs ¹¹										
Number of budget line		(3)								
TOTAL appropriations for DG MARE	Commitments	=1+1a +3	13.650							13.650
	Payments	=2+2a +3	9.650	4.000						13.650

¹⁰ Year N is the year in which implementation of the proposal/initiative starts.

¹¹ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former "BA" lines), indirect research, direct research.

• TOTAL operational appropriations	Commitments	(4)	13.650							13.650
	Payments	(5)	9.650	4.000						13.650
• TOTAL appropriations of an administrative nature financed from the envelop of specific programs		(6)								
TOTAL appropriations under HEADING 2 of the multiannual financial framework	Commitments	=4+ 6	13.650							13.650
	Payments	=5+ 6	9.650	4.000						13.650

If more than one heading is affected by the proposal / initiative:

• TOTAL operational appropriations	Commitments	(4)								
	Payments	(5)								
• TOTAL appropriations of an administrative nature financed from the envelop of specific programs		(6)								
TOTAL appropriations under HEADINGS 1 to 4 of the multiannual financial framework (Reference amount)	Commitments	=4+ 6								
	Payments	=5+ 6								

Heading of multiannual financial framework:	5	" Administrative expenditure "
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EUR million (to 3 decimal places)

		Year 2012	Year N+1	Year N+2	Year N+3	... enter as many years as necessary to show the duration of the impact (see point 1.6)			TOTAL
DG: MARE									
• Human resources		0.254							0.254
• Other administrative expenditure		0.036							0.036
TOTAL DG MARE	Appropriations	0.290							0.290

TOTAL appropriations under HEADING 5 of the multiannual financial framework	(Total commitments = Total payments)	0.290							
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EUR million (to 3 decimal places)

		Year 2012 ¹²	Year N+1	Year N+2	Year N+3	... enter as many years as necessary to show the duration of the impact (see point 1.6)			TOTAL
TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework	Commitments	13.940							13.940
	Payments	13.940							13.940

¹² Year N is the year in which implementation of the proposal/initiative starts.

3.2.2. *Estimated impact on operational appropriations*

- ☐ The proposal/initiative does not require the use of operational appropriations
- ☒ The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to 3 decimal places)

Indicate objectives and outputs ↓			Year 2012		Year N+1		Year N+2		Year N+3		... enter as many years as necessary to show the duration of the impact (see point 1.6)						TOTAL	
	OUTPUTS																	
	Type of output 13	Average cost of the output	Number of outputs	Cost	Number of outputs	Cost	Number of outputs	Cost	Number of outputs	Cost	Number of outputs	Cost	Number of outputs	Cost	Number of outputs	Cost	Total number of outputs	Total cost
SPECIFIC OBJECTIVE No 1 ¹⁴ ...																		
- Output 1	Community withdrawals		3.500															
- Output 2	Independent withd & carry-over		2.500															
- Output 3	Private storage aid		1.000															
- Output 4	Compensatory tuna allowance		1.000															
- Output 5	Community carry- over		5.500															
- Output 6	Technical assistance		0.150															
Sub-total for specific objective N°1				13.650														
SPECIFIC OBJECTIVE No 2...																		
- Output																		
Sub-total for specific objective N°2																		
TOTAL COST				13.650														

¹³ Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).
¹⁴ As described in Section 1.4.2. "Specific objective(s)..."

3.2.3. Estimated impact on appropriations of an administrative nature

3.2.3.1. Summary

- ☐ The proposal/initiative does not require the use of administrative appropriations
- ☒ The proposal/initiative requires the use of administrative appropriations, as explained below:

EUR million (to 3 decimal places)

	Year 2012 ¹⁵	Year N+1	Year N+2	Year N+3	... enter as many years as necessary to show the duration of the impact (see point 1.6)	TOTAL
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HEADING 5 of the multiannual financial framework								
Human resources	0.254							
Other administrative expenditure	0.036							
Subtotal HEADING 5 of the multiannual financial framework	0.290							

Outside HEADING 5¹⁶ of the multiannual financial framework								
Human resources								
Other expenditure of an administrative nature								
Subtotal outside HEADING 5 of the multiannual financial framework								

TOTAL	0.290							
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¹⁵ Year N is the year in which implementation of the proposal/initiative starts.

¹⁶ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former "BA" lines), indirect research, direct research.

3.2.3.2. Estimated requirements of human resources

- ☐ The proposal/initiative does not require the use of human resources
- ☒ The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full amounts (or at most to one decimal place)

		Year 2012	Year N+1	Year N+2	Year N+3	... enter as many necessary to show duration of the i (see point 1.	
Deployment plan posts (officials and temporary agents)							
	XX 01 01 01 (Headquarters and Commission's Representation Offices)	2 AST					
	XX 01 01 02 (Delegations)						
	XX 01 05 01 (Indirect research)						
	10 01 05 01 (Direct research)						
• External personnel (in Full Time Equivalent unit: FTE)¹⁷							
	XX 01 02 01 (CA, INT, SNE from the "global envelope")						
	XX 01 02 02 (CA, INT, JED, LA and SNE in the delegations)						
	XX 01 04 yy¹⁸	- at Headquarters ¹⁹					
		- in delegations					
	XX 01 05 02 (CA, INT, SNE - Indirect research)						
	10 01 05 02 (CA, INT, SNE - Direct research)						
	Other budget lines (specify)						
	TOTAL	2 AST					

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary agents	Financial management of expenditure, follow-up and ex-post controls
External personnel	

¹⁷ CA= Contract Agent; INT= agency staff ("Intérimaire"); JED= "Jeune Expert en Délégation" (Young Experts in Delegations); LA= Local Agent; SNE= Seconded National Expert;

¹⁸ Under the ceiling for external personnel from operational appropriations (former "BA" lines).

¹⁹ Essentially for Structural Funds, European Agricultural Fund for Rural Development (EAFRD) and European Fisheries Fund (EFF).

3.2.4. *Compatibility with the current multiannual financial framework*

- ☒ Proposal/initiative is compatible the current multiannual financial framework.
- ☐ Proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

Explain what reprogramming is required, specifying the budget lines concerned and the corresponding amounts.

- ☐ Proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework²⁰.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

3.2.5. *Third-party contributions*

- ☒ The proposal/initiative does not provide for co-financing by third parties
- The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to 3 decimal places)

	Year N	Year N+1	Year N+2	Year N+3	... enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
<i>Specify the co-financing body</i>								
TOTAL appropriations cofinanced								

²⁰ See points 19 and 24 of the Interinstitutional Agreement.

3.3. Estimated impact on revenue

- ☒ Proposal/initiative has no financial impact on revenue.
- ☐ Proposal/initiative has the following financial impact:
 - ☐ on own resources
 - ☐ on miscellaneous revenue

EUR million (to 3 decimal places)

Budget revenue line:	Appropriations available for the ongoing budget exercise	Impact of the proposal/initiative ²¹						
		Year N	Year N+1	Year N+2	Year N+3	... insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)		
Article								

For miscellaneous assigned revenue, specify the budget expenditure line(s) affected.

Specify the method for calculating the impact on revenue.

²¹ As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25% for collection costs.